

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Phoenix, Arizona

Applicant: Ping Zhou.

Group:

2874

Serial No.: 09/481,627

Examiner:

Not assigned

Filed:

January 12, 2000

Atty Docket No.:

A60-17042-A1

US

For:

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Box Patent Application Assistant Commissioner of Patents POB 1450 Alexandria, VA 22313-1450

Dear Sir:

The following sections are being submitted for this Supplemental Information Disclosure Statement:

1. Preliminary Statements.

The filing of this Supplemental Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56 (37 C.F.R. § 1.97(h)), or that no other material information exists.

The filing of this Supplemental Information Disclosure Statement is not to be construed as a representation that the references are prior art within the meaning of 35 U.S.C. §§ 102 or 103. Further, any explanation, if provided, is not to be construed as a representation that the references have been thoroughly reviewed. In particular, no representation as to the relevance of any portion of any reference is intended.

The filing of this Supplemental Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

- Form PTO-1449, List of Prior Art Cited by Applicant, citing 54 U.S. patents and 33 Foreign patents and 12 publications.
- 3. These references are in addition to those listed in the Information Disclosure Statement and Form PTO 1449 filed with the Patent and Trademark Office on September 28, 2000.

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PATENT Serial No. 09/481,627 PAGE 1

- The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor(s) and the information in the attorney's file.
- 6. The Office is authorized to charge Applicant's Deposit Account 01-1125 for the fee of \$180.00 under 37 C.F.R. § 1.17(p) for consideration of this IDS and for any other fee that may be due.

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		09/481,627					
		1-12-2000 OCT 2 3 2003					
		Ping Zhou					
s. See 37 /CFR 1.27.	Group Art Unit	2874					
\$180.000	Examiner Name	Sung H. Pak					
	Attorney Docket No.	A60-17042-A1 US					
	revision. s. See 37 /CFR 1.27.	Application No. Filing Date First Named Inventor Group Art Unit Examiner Name					

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SUBMITTED BY				Complete (if ap	oplicable)
Name (Print / Type)	Andrew A. Abeyta	Registration No. (Attorney/Agent)	39,582	Telephone	602-313-3345
Signature	MIK			Date Oct.	20, 2003

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Docket No. H17042 A1 US Serial No. 09/481,627 Filed January 12, 2000

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Substitute for form 1449A-PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(USE AS MANY SHEETS AS NECESSARY)

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Application Numb r	09/481,627	1010
Filing Date	1-12-2000	100
First Named Inventor	Ping Zhou	2320
Art Unit	2874	
Examiner Name	Sung H. Pak	Ex. SE
Attorney Docket Number	A60 17042-A1 US	RADEMARIE

			U.S. PATE	ENT DOCUMENTS	
011-	Document N	lumber			Pages, Columns, Lines Where
Cite No.1	Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	US 4092061		5-30-78	Stigliani, Jr.	
	US 4130343		12-19-78	Miller, et al.	
	US 4165496		8-21-79	Di Domenico, Jr. et al.	
	US 4381882		3-3-83	Sabine	
	US 4403273		9-6-83	Nishioka	
	US 4441805		4-10-84	Smith	
	US 4653844	****	3-31-87	Ward	
	US 4865417		9-12-89	Yamamoto Naohiro, et al.	
	US 4897711		1-30-90	Blonder, et al.	
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_	US 5357103		10-18-94	Sasaki	
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	US 5390271		2-14-95	Priest	
	US 5414786		5-9-95	Ohta, et al.	
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 l	US 5499309		3-12-96	Kozuka, et al.	
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 · · · · · ·	US 5533158		7-2-96	Han, et al.	
	US 5539848	-	7-23-96	Galloway	
l	US 5545893		8-13-96	Brown, et al.	
	US 5574814		11-12-96	Noddings, et al.	

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1900	US 5596662	1-21-97	Boscher
P E C	US 5613024	3-18-97	Shahid
* **	US 5625734	4-29-97	Thomas, et al.
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OCT 2 3 2003	US 5666449	9-9-97	Sawae, et al.
	US 5706378	1-6-98	Suzuki, et al.
ZEN & TRADENT	US 5717800	2-10-98	Funabashi
a Tim	US 5724464	3-3-98	Kozuka
	US 5734765	3-31-98	Artjushenko, et al.
	US 5744848	4-28-98	Harazono
	US 5774614	6-30-98	Gilliland, et al.
	US 5790731	8-4-98	Deveau
	US 5808325	9-15-98	Webb
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muais	NO.	Country Code ³	Number ⁴	Kind Code⁵ (if known)	MM-DD-YYYY	Document	Passages or Relevant Figures Appear	<u> </u>
		EP	0355522	A2	8-3-89	Toda, et al.		X
		EP	0335691		10-4-89	Charles, et al.		
		EP	0413489	A2	8-6-90	Pimpinella, et al.		
		EP	0619507		10-12-94	Henricus, et al.		
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		DE	19527026		7-24-95	Spaeth et al.		X
		JP	1140791		6-1-89	Hiroshi		
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		JP	1256189		10-12-89	Akihiro		
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		JP	6216406		8-5-94	Mikio		

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JP 9041951		2-26-97	Makoto, et al.		
JP 58153388		9-12-83	Kouki		
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JP 63084184		4-14-88	Noriyuki, et al.		
JP 63280206		11-17-88	Toshiya		
JP 63280574		11-7-88	Hiroki		X
UK 2312551	Α	10-29-97	Mayer et al.		
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PE7	Substitute for form 1449B-PTO	COM	PLETE IF KNOWN
, ,		Application Number	09/481,627
OCT 2 3	ທສັ∰NFORMATION DISCLOSURE	Filing Date	1-12-2000
	SSTATEMENT BY APPLICANT	First Named Inventor	Ping Zhou
ATENT & TR	(USE AS MANY SHEETS AS NECESSARY)	Group Art Unit	2874
VENT & TR	Sheet 2 Of 2	Examiner Name	Sung H. Pak
		Attorney Docket Number	A60 17042-A1 US

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		Ali, Akbar, "New Materials and Design Components for Integrated Optics", Technology Report /	
		Fiberoptics, President, Glass & Ceramics Intl., Inc. 2017 Lomita Blvd., Lomita California	
		Cohen, M.S., et al., "Passive Laser-Fiber Alignment by Index Method", 1991 IEEE Photonics Technology Letters, pps. 985-987	
		Faris, Jeff, et al., "DMD packages – Evolution and strategy", TI Technical Journal, July-September 1998, pps. 97-94	
		Kosaka, et al., "Plastic-Based Receptacle-Type VCSEL-Array Modules with One and Two Dimensions Fabricated Using the Self-Alignment Mounting Technique", Electronic Components and Technology Conference, 1997. pps. 382-390.	
		Norton, L.J. et al., "Optobus I: A Production Parallel Fiber Optical Interconnect", Electronic Components and Technology Conference, 1997, pps. 204-209.	
		Plawsky, Joel L., et al., "Photochemically machined, glass ceramic, optical fiber interconnection components", Corning Glass Works, Corning NY 14831, SPIE Vol. 994 Optoelectronic Materials, Devices, Packaging and Interrconnects (1988) pps. 101-106	
		Shweky, et al., "A CSP Optoelectronic Package For Imaging And Light Detection Applications", Part of the IS&T/SPIE Conference on Sensors, Cameras, and Applications, pages 63-68, 1999.	
	:	Spector, Dr. Murray, "Design of a Solid State Laser Hybrid Package", International Journal for Hybrid Microelectronics, Vol. 5, No. 2, November 1982, pps. 172-174.	
		Sullivan, Charles T., et al., "Polymeric Waveguides", IEEE January 1992, pps. 27-31.	
		Teska, Mike, "Fine Line PCB Assembly Leads to New Test Issues", Electronic Packaging & Production, pages 54-56, 1998.	
		Havant, "Flexible Interposing Carrier Scheme for Optical Waveguide", p. 512, Research Disclosure 30344	
		"Optical Connection Circuit", Japanese Abstract publication #63-280206, Vol. 13, Number 98, p. 840, March 8, 1989.	
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